VP Bank AG
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info@vpbank.com · www.vpbank.com · MwSt.-Nr. 51.263 · Reg.-Nr. FL-0001.007.080-0

Form to identify the ultimate beneficial owner of legal entities in accordance with Art. 3(1)b Due Diligence Ordinance (DDO) (Form T) and Art. 4a(1)b and (2) Automatic Exchange of Information Ordinance (AEOIO)

VP Bank AG (hereinafter referred to as "the Bank"). For clarity and legibility, the pronoun "he" is used to refer to persons of either sex.

Company name / First name Surname (legal entity / account holder)[1]

Client number

1

In accordance with Art. 3(1)b DDO, the following beneficial owner has been identified:

☐ a natural person who is the effective, not fiduciary, founder or settlor/trustor.

☐ a natural or legal person[2] who is a member of the foundation council or board of directors or of the trustee.

☐ a natural person who is a protector or person in a similar or equivalent function.

☐ a natural person who is a beneficiary.

☐ a natural person who ultimately controls the legal entity through direct or indirect ownership rights or in another way.

☐ a legal entity[2] that is a beneficiary and fulfils the requirements under Art. 2(1)b Due Diligence Act (DDA) The contractual partner must provide corresponding documentation in evidence.

3

Surname of the natural person / company name of the legal entity[2]

Legal first name/s*

Street

Postcode

Place

Country of residence / domicile

Nationality*

Date of birth / foundation

☐ Discretionary legal entity that is formed or operated primarily in the interests of the following group of persons:

Description of the group of persons

It is a criminal offence under the Liechtenstein Criminal Code to intentionally provide false information on this form.
All changes must be notified to the entity subject to due diligence without delay.

1 In the event of other designations, the name of the account holder must be specified.

2 Specification of a legal entity is only sufficient in the case of:
– a legal person that is the member of the foundation council or board of directors;
– Beneficiaries for which the contractual partner provides evidence that they are a legal entity within the meaning of Art. 2(1)b DDA.

* Fields marked by an asterisk (*) must only be completed in the case of a natural person.

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1. Information relevant for tax

In the table below, please enter all countries of residence for tax purposes along with the associated Tax Identification Number (TIN) for the natural person named on Form T. If no information is provided, the country entered on Form T shall be deemed to be the sole country of residence for tax purposes. In such a case, the corresponding TIN or reason for a missing TIN must be communicated to the bank unless the natural person named on Form T is not a person required to report.

Countries of residence for tax purposes (Complete list)	TIN	Reason for missing TIN

2. Declaration

The legal entity acknowledges that in the event of a change to the information made in this supplementary part, it will submit a form to identify the ultimate beneficial owner of legal entities in accordance with Art. 3(1)b DDO (Form T) including the relevant tax information together with the supplementary part of this DD form to the Bank within 90 days or by the end of the calendar year, whichever is the later.

The legal entity acknowledges that the Bank may be obliged to report information about the legal entity, as well as information about its business relation(s) with the Bank and about the controlling persons of the legal entity to the tax administration for further submission to the tax authorities of the country or countries of residence for tax purposes, in accordance with the corresponding treaties and statutory requirements.

The legal entity confirms that the statements in this supplementary part have been provided in full and correctly to the best of its knowledge.

The legal entity acknowledges that the intentional provision of incomplete or false information in this supplementary part, or the failure to report a change in circumstances, will be prosecuted under Art. 28(3)c of the AEOI Act.

In signing this supplementary part, the signatory below confirms their authority to represent the legal entity.

.....
Place/date

.....
For the legal entity / account holder

.....
Name/s of the person/s signing (block capitals)

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