VP Bank AG
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Form to identify the ultimate beneficial owner of legal entities in accordance with Art. 3(1)a Due Diligence Ordinance (DDO) (Form C) and Art. 4a(1)b and (2) Automatic Exchange of Information Ordinance (AEOIO)

VP Bank AG (hereinafter referred to as "the Bank"). For clarity and legibility, the pronoun "he" is used to refer to persons of either sex.

Company name / First name Surname (legal entity / account holder)[1]

Client number

1

In accordance with Art. 3(1)a DDO, the following beneficial owner has been identified:

☐ a natural person who ultimately directly or indirectly holds or controls a share or voting rights of 25% or more in this legal entity or participates in 25% or more of the profits of this legal entity

☐ a natural person who ultimately controls this entity by other means

☐ a natural person who is a member of the governing body, providing – after exploitation of all options and in the absence of any suspicion – they have not been identified as being one of the aforementioned persons

Legal surname/s

Legal first name/s

Street

Postcode

Place

Country of residence

Nationality

Date of birth

It is a criminal offence under the Liechtenstein Criminal Code to intentionally provide false information on this form. All changes must be notified to the entities subject to due diligence without delay. In the event that a member of the governing body is identified as beneficial owner, it is confirmed by signing that the declarations made have not brought to light any circumstances that would indicate the existence of beneficial owners through the – in particular indirect – holding of shares, voting rights or rights to profit or control in any other form.

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[1] In the event of other designations, the name of the account holder must be specified.

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1. Information relevant for tax

In the table below, please enter all countries of residence for tax purposes along with the associated Tax Identification Number (TIN) for the natural person named on Form C. If no information is provided, the country entered on Form C shall be deemed to be the sole country of residence for tax purposes. In such a case, the corresponding TIN or reason for a missing TIN must be communicated to the bank unless the natural person named on Form C is not a person required to report.

Countries of residence for tax purposes (Complete list)	TIN	Reason for missing TIN

2. Declaration

The legal entity acknowledges that in the event of a change to the information made in this supplementary part, it will submit a form to identify the ultimate beneficial owner of legal entities in accordance with Art. 3(1)a DDO (Form C) together with the supplementary part of this DD form to the Bank within 90 days or by the end of the calendar year, whichever is the later.

The legal entity acknowledges that the Bank may be obliged to report information about the legal entity, as well as information about its business relation/s with the Bank and about the controlling persons of the legal entity to the tax administration for further submission to the tax authorities of the country or countries of residence for tax purposes, in accordance with the corresponding treaties and statutory requirements.

The legal entity confirms that the statements in this supplementary part have been provided in full and correctly to the best of its knowledge.

The legal entity acknowledges that the intentional provision of incomplete or false information in this supplementary part, or the failure to report a change in circumstances, will be prosecuted under Art. 28(3)c of the AEOI Act.

In signing this supplementary part, the signatory below confirms their authority to represent the legal entity.

Place/date

For the legal entity / account holder

Name/s of the person/s signing (block capitals)

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