VP Bank AG
Aeulestrasse 6 · 9490 Vaduz · Liechtenstein · T +423 235 66 55
info@vpbank.com · www.vpbank.com · MwSt.-Nr. 51.263 · Reg.-Nr. FL-0001.007.080-0

AEOI Self-Certification of an Entity

"Bank" refers hereafter to VP Bank AG. For clarity and legibility, the pronoun "he" is used to refer to persons of either sex.

Account Holder (company name of the entity) | Client number

On the basis of the international and national statutory provisions in connection with the Automatic Exchange of Information (AEOI), the Bank is obliged to obtain the following information from the entity; the entity is obliged to provide the necessary information, including any changes to it on a timely basis.

Unless the entity states otherwise, the information contained in this form becomes, as from the date of signature, effective for the current reporting period.

1. Identification of the entity (All mandatory fields are marked with an *)

Account Holder (company name of the entity/branch)*

Country of incorporation/establishment

Address*[1]

Postcode* | City*

P.O. Box[1] | Country of domicile*

If the address provided above is different from the address currently recorded at the Bank as the domicile address, the submission of this form will simultaneously be deemed to be a notification of a change of address for the purposes of the Liechtenstein Due Diligence Ordinance (DDO; Sorgfaltspflichtverordnung – SPV). Any existing instructions to send mail to the domicile address will be deemed changed accordingly on submission of this form. However, any existing instructions to send mail to an address different to the domicile address will remain unaffected.

[1] Addresses may not include the supplements "P.O. box" or "per" or "Attention" unless these supplements are part of the address registered in the Commercial Register.

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2. Entity's status

Please indicate the status of the entity by choosing one of the following criteria (only one box may be ticked):

Financial Institution

☐ Depository Institution, Custodial Institution or Specified Insurance Company

☐ Investment Entity located in a NON-Participating Jurisdiction and managed by another Financial Institution[2 3]

☐ Investment Entity located in a Participating Jurisdiction, which is classified as non-reporting (e. g. Trustee-Documented Trust [TDT])

☐ Other Investment Entity

Active NFE

☐ Active entity that is not a Financial Institution (e. g. a trading company or company in the manufacturing sector), a Holding NFE or a Treasury Centre

☐ Tax-exempt, exclusively non-profit entity, which is not a Financial Institution (Article 2 Paragraph 1 (2) Clause h of the AEOI Act)

☐ Entity the stock of which is regularly traded on an established securities market or a related entity of an entity the stock of which is regularly traded on an established securities market or a governmental entity, an international organisation, a central bank or an entity wholly owned by one or more of the foregoing

☐ Start-up NFE or NFE in liquidation

Passive NFE

☐ Entity is not a Financial Institution and not an Active NFE[3]

3. Declaration of information relevant for tax purposes

In the table below, please enter all countries of residence for tax purposes (tax domicile) along with the associated tax identification number (TIN) for the legal entity. If no information is provided, the country entered in section 1 shall be deemed to be the sole country of residence for tax purposes. In such a case, the corresponding TIN or reason for a missing TIN must still be communicated to the Bank.

No.	Country of tax residence	Tax Identification Number (TIN)[4]	Reason why no TIN is specified		
			Country of Residence does not issue TINs	Client does not fulfil requirements	No TIN required[5]
1			☐	☐	☐
2			☐	☐	☐
3			☐	☐	☐

[2] The entity is deemed as Passive NFE.

[3] In addition, under the relevant supplementary section of the Liechtenstein Ordinance concerning International Automatic Exchange of Information in Tax Matters (AEOI Ordinance; Verordnung über den internationalen automatischen Informationsaustausch in Steuersachen – AIA Verordnung), each of the entity's controlling persons must complete and sign Form C or Form T "Identification of the ultimate beneficial owner of legal entites" pursuant to the DDO, and submit these forms to the Bank.

[4] The TIN is the identification number issued by a particular country for tax purposes and for the international exchange of data. Provision of the TIN is only required if the relevant country issues such numbers for its citizens (e. g. Social Security Number in the US; for Account Holders with a domicile / tax domicile in the EU, the EU website provides information about TINs: https://ec.europa.eu/taxation_customs/tin/tinByCountry.html?locale=en).

[5] State of residence is not an AEOI partner state of Liechtenstein.

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4. Declaration

The entity acknowledges that it will be obliged to submit a new Self-Certification form to the Bank within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this form changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank may be obliged to report information about the entity and its banking relationship/s with the Bank, and about its controlling persons, to the Liechtenstein Tax Authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided on this form is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this Self-Certification form, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under Article 28 paragraph 3 (c) of the Act concerning International Automatic Exchange of Information in Tax Matters (AEOI Act).

By signing this form, the signatory confirms that he/she is authorised to represent the entity.

.....
Date

.....
Signature/s of the entity's authorised signatory (signatories)

.....
Name/s of the entity's authorised signatory (signatories) in block letters

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