VP Bank (Switzerland) Ltd
Talstrasse 59 · 8001 Zurich · Switzerland · T +41 44 226 24 24
info.ch@vpbank.com · www.vpbank.com · CHE-107.826.214 VAT

AEOI Self-Certification of an Entity

VP Bank (Switzerland) Ltd (hereinafter referred to as "the Bank"). For clarity and legibility, the pronoun "he" is used to refer to persons of either sex.

Account Holder (company name of the entity) .....

Client number .....

On the basis of the international and national statutory provisions in connection with the Automatic Exchange of Information (AEOI), the Bank is obliged to obtain the following information from the entity; the entity is obliged to provide the necessary information, including any changes to it on a timely basis.

Unless the entity states otherwise, the information contained in this form becomes, as from the date of signature, effective for the current reporting period.

1. Identification of the entity (All mandatory fields are marked with an *)

Account Holder (company name of the entity/branch)* .....

Country of incorporation/establishment .....

Address*[1] .....

Postcode* .....

City* .....

P.O. Box[1] .....

Country of domicile* .....

If the address provided above is different from the address currently recorded at the Bank as the domicile address, the submission of this form will simultaneously be deemed to be a notification of a change of address for the purposes of the Swiss Code of Conduct (CDB). Any existing instructions to send mail to the domicile address will be deemed changed accordingly on submission of this form. However, any existing instructions to send mail to an address different to the domicile address will remain unaffected.

[1] Addresses may not include the supplements "P.O. box" or "per" or "Attention" unless these supplements are part of the address registered in the Commercial Register.

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2. Entity's status

Please indicate the status of the entity by choosing one of the following criteria (only one box may be ticked):

Financial Institution

☐ Depository Institution, Custodial Institution or Specified Insurance Company

☐ Investment Entity located in a NON-Participating Jurisdiction and managed by another Financial Institution[2]

☐ Investment Entity located in a Participating Jurisdiction, which is classified as non-reporting (e. g. Trustee-Documented Trust [TDT])

☐ Other Investment Entity

Active NFE

☐ Active entity that is not a Financial Institution (e. g. a trading company or company in the manufacturing sector), a Holding NFE or a Treasury Centre

☐ Tax-exempt, exclusively non-profit entity

☐ Entity the stock of which is regularly traded on an established securities market or a related entity of an entity the stock of which is regularly traded on an established securities market or a governmental entity, an international organisation, a central bank or an entity wholly owned by one or more of the foregoing

☐ Start-up NFE or NFE in liquidation

Passive NFE

☐ Entity is not a Financial Institution and not an Active NFE[2]

3. Declaration of information relevant for tax purposes

Please list in the following table all of the entity's countries of residence for tax purposes together with the associated Tax Identification Numbers (TIN). Where no information is provided, the entity expressly confirms that the country of residence stated under section 1 above is its sole country of residence for tax purposes. In these circumstances too, the entity must provide the Bank with a TIN or, if a TIN is unavailable, indicate the reason for this.

Countries of residence for tax purposes (please list all countries)	TIN	Reason why TIN is not available

[2] The entity undertakes to fill out the corresponding form/s "Identification of the Controlling Person for AEoI purpose of a Passive NFE or FI (Financial Institution) in Non-Participating countries" for each person and to sign and submit it/them to the Bank. For each individual person listed in form A, S or T it is required to provide a separate form.

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4. Declaration

The entity acknowledges that it will be obliged to submit a new Self-Certification form to the Bank within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this form changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank may be obliged to report information about the entity and its banking relationship/s with the Bank, and about its controlling persons, to the Swiss Tax Authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided on this form is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this Self-Certification form, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under the Act concerning International Automatic Exchange of Information in Tax Matters (AEOI Act).

The entity is obliged in case of a Passive NFE or a NFE or FI in a NON-Participating Jurisdiction as per footnote 2 of this form to name all controlling person of this entity are report them with a separate form.

By signing this form, the signatory confirms that he/she is authorised to represent the entity.

.....
Date

.....
Signature/s of the entity's authorised signatory (signatories)

.....
Name/s of the entity's authorised signatory (signatories) in block letters

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